

Data Protection Full Assessment Impact Assessment Id: #580

1.0 Screening Information

Project Name

Local Nature Recovery Strategy (LNRS)

Name of Project Sponsor

Emily Barker

Name of Project Manager

Cody Levine

Name of Project Lead

Cody Levine

Please give a brief description of the project

LNRS are a statutory requirement from the Environment Act requiring the Secretary of State to appoint Responsible Authorities (WCC in the case of Worcestershire) to collaborate with the public and Supporting Authorities in production of a spatial plan for nature's recovery, establishing local priorities and locations for conservation and enhancement action which will also help deliver wider environmental benefits.

Data Protection screening result

Will require a full impact assessment

Equality and Public Health screening result

Will require a full impact assessment

Environmental Sustainability screening result

Will require a full impact assessment

1.1 Background and Purpose

Background and Purpose of Project?

To support your answer to this question, you can upload a copy of the project's Business Case or similar document.

LNRS are a statutory requirement from the Environment Act requiring the Secretary of State to appoint Responsible Authorities (WCC in the case of Worcestershire) to collaborate with the public and Supporting Authorities in production of a spatial plan for nature's recovery, establishing local priorities and locations for conservation and enhancement action which will also help deliver wider environmental benefits.

Upload Business Case or Support documents

No files uploaded

Project Outputs

Briefly summarise the activities needed to achieve the project outcomes.

Public consultation on a Statement of Biodiversity Priorities and Local Habitat Map setting out how and where Worcestershire will achieve nature's recovery

Project Outcomes

Briefly summarise what the project will achieve.

The LNRS, once adopted, will help influence land-use decision making, for example helping spatially focus Biodiversity Net Gain offsets and Environmental Stewardships.

Is the project a new function/service or does it relate to an existing Council function/service?

New

Was consultation carried out on this project?

No

1.2 Responsibility

Directorate/Organisation

Economy & Infrastructure

Service Area

Major Projects & Waste

1.4 Specifics

Project Reference (if known)

LNRS

Intended Project Close Date *

March 2025

1.5 Project Part of a Strategic Programme

Is this project part of a strategic programme?

No

2.0 Personal Data

Who are you processing data about?

Customers, clients or service users

Suppliers

Complainants, enquirers or their representatives

Professional advisers and consultants

Recipients of Benefits

Representatives of other organisations

Other - landowners, their tenants and agents. parish, district and town councils and councilors.

What personal data will be collected? *

The second stage is to list all of the types of personal data that you believe the project/works/additional processing will utilise.

Please select yes for as many examples of types of data that are relevant and include any others in the free text at the bottom of the page.

Basic Identifiers:

Name

Yes

Date of Birth

No

Age

No

Gender

No

Sex

No

Contact Details:

Address

No

Email Address

Yes

Home Phone Number

Yes

Mobile Phone Number

Yes

Postcode

No

ID Number:

National Insurance Number

No

Driving Licence/Number

No

NHS Number

No

Other General Identifier

No

Employment:

Work Related Training/Awards

No

Financial:

Income/Financial/Tax Situation

No

Appearance:

Photograph

No

Physical Description

No

Lifestyle:

Living Habits

No

Marital Status

No

Technology:

Login/Username

No

Device MAC Address (Wireless Network Interface)

No

Device Mobile Phone/Device IMEI No

No

Location Data (Travel/GDPS/GSM Data)

No

Online Identifier e.g. IP Address

No

Website Cookies

No

Other Data Types Collected

consultees may elect to share data about land, landholdings or other observations

2.1 Legal basis for Personal Data

What is your lawful basis for processing the personal data? *

Please choose one of the following

Data Subject's consent for the purpose

Yes

Necessary for a contract with the Data Subject

No

Necessary to comply with a legal obligation

No

Necessary to protect the vital interests of an individual(s)

No

Necessary for a task in the public interest or exercise of official authority of Controller

Yes

Necessary for legitimate interests of Controller unless interests are overridden by the interests or rights of the individual (only available in limited circumstances to public bodies)

Yes

2.2 Special Data

What special category personal data (if any) will be collected? *

This section will not apply to all projects and should only be completed if it applies to you.

It is important that you read this section carefully, as these data types require additional care and protection.

If you do pick anything from this list, you will be required to give more details in Section 4 of this form.

You can read more about Special Category Data through this link;

<https://ico.org.uk/for-organisations/guide-to-data-protection/guide-to-the-general-data-protection-regulation-gdpr/lawful-basis-for-processing/special-category-data/>

Race

No

Ethnic origin

No

Political opinions

No

Religion

No

Philosophical beliefs

No

Trade union membership

No

Genetic Data

No

Biometric Data

No

Sex life

No

Health or social care

No

2.3 Legal basis for Special Data

What is the relevant condition for processing the special category personal data? *

You must qualify under one of the below exemptions as well as having a legal basis from the previous question.

Explicit Consent

The data subject has given explicit consent to the processing of those personal data for one or more specified purposes, except where Union or Member State law provide that the prohibition referred to in paragraph 1 may not be lifted by the data subject;

Not Recorded

Employment and Social Security

Processing is necessary for the purposes of carrying out the obligations and exercising specific rights of the controller or of the data subject in the field of employment and social security and social protection law in so far as it is authorised by Union or Member State law or a collective agreement pursuant to Member State law providing for appropriate safeguards for the fundamental rights and the interests of the data subject;

Not Recorded

Vital Interests

Processing is necessary to protect the vital interests of the data subject or of another natural person where the data subject is physically or legally incapable of giving consent;

Not Recorded

Legitimate Interests of:

"a foundation, association or any other not-for-profit body with a political, philosophical, religious or trade union aim".

Processing is carried out in the course of its legitimate activities with appropriate safeguards by a foundation, association or any other not-for-profit body with a political, philosophical, religious or trade union aim and on condition that the processing relates solely to the members or to former members of the body or to persons who have regular contact with it in connection with its purposes and that the personal data are not disclosed outside that body without the consent of the data subjects;

Note – this is not often applicable to local authorities.

Not Recorded

Publicly Available Data

Processing relates to personal data which are manifestly made public by the data subject;

Not Recorded

Legal or Court Proceedings

Processing is necessary for the establishment, exercise or defence of legal claims or whenever courts are acting in their judicial capacity;

Not Recorded

Public Interest - Statutory Necessity

Processing is necessary for reasons of substantial public interest, on the basis of Union or Member State law which shall be proportionate to the aim pursued, respect the essence of the right to data protection and provide for suitable and specific measures to safeguard the fundamental rights and the interests of the data subject;

Not Recorded

Medical, Health and Social Care Provision

Processing is necessary for the purposes of preventive or occupational medicine, for the assessment of the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or the management of health or social care systems and services on the basis of Union or Member State law or pursuant to contract with a health professional and subject to the conditions and safeguards referred to in paragraph 3;

Not Recorded

Public Health

Processing is necessary for reasons of public interest in the area of public health, such as protecting against serious cross-border threats to health or ensuring high standards of quality and safety of health care and of medicinal products or medical devices, on the basis of Union or Member State law which provides for suitable and specific measures to safeguard the rights and freedoms of the data subject, in particular professional secrecy;

Not Recorded

Archiving or Scientific, Historical or Statistical Research Purposes

Processing is necessary for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes in accordance with Article 89(1) based on Union or Member State law which shall be proportionate to the aim pursued, respect the essence of the right to data protection and provide for suitable and specific measures to safeguard the fundamental rights and the interests of the data subject.

Not Recorded

2.4

Information Involved

Understanding the information flows involved in a project is essential to a proper assessment of privacy risks.

How will the data be collected? *

This section should be filled in for every project, not just those collecting Special Category data.
online survey form, geospatial data

What will the data be used for? *

This section should be filled in for every project, not just those collecting Special Category data.
proposals for nature's recovery will be captured, held and assessed based on deliverability, suitability and opportunities to secure wider environmental benefits

Has data already been collected?

No

Are the purposes for which you are collecting the data different? *

If the data you are hoping to use was not collected specifically for this project, please explain in the box below why it was collected. This will

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include data that you have collected from other teams within WCC.

Not Recorded

Explain why existing and/or less intrusive processes or measures would be inadequate *

In this section, you should explain why your new method/project is absolutely necessary and show that you have thought about all other options.

no existing processes or measures currently exist

3.0 Other organisations

Are other organisations involved in processing the data?

Yes

Please provide details of each organisation that is involved in the processing of Data. Do this by adding to the below list. *

Organisation Name	Worcestershire Wildlife Trust
Data Controller or Data Processor	Data Processor
Organisation's Role	Assessing and scoring site proposals for delivering nature recovery initiatives, depending on landowner permission, contacting consultees to provide further requested information and advice
Data Sharing Agreement or Contract	No
Contract Reference Number/DSA Name	n/a
Organisation involved reason	WWT, as a member of the Worcestershire Local Sites Partnership are supporting LNRS functions by issuing site management information to benefit nature with site owners where this is requested by the consultee
Disclosure and Security	the data owner will only disclose contact names, emails or telephone numbers with the data processor where permission has been granted by land owner. Data will be issued by password locked word document and deleted on completion of LNRS preparation unless otherwise agreed with landowner.

1 record

3.1 Storage detail

How will the information be stored? *

Please include details of whether data will be stored outside of the European Economic Area (EEA).

Please remember that cloud storage and back up servers maybe outside the EEA.

Geospatial data will be stored in ArcGIS Pro and downloaded to secured Sharepoint site on completion of public consultation. ArcGIS Pro is a password secured service linked to desktop pro licensed users. Webforms associated with the LNRS consultation will also be saved in a sharepoint folder accessible only to WCC staff granted access permissions through the appropriate MS Teams channel.

For how long will the data be retained? *

For period of LNRS preparation: no less than 2 years and no more than 3years.

What is the deletion process? *

data owner will delete sharepoint files on completion of LNRS preparation (adoption by Secretary of State)

4 Consultation details

Consultation can be used at any stage of the DPIA process and is important to allow people to highlight privacy risks and solutions based on their own area of interest or expertise.

For further assistance and information please visit the [consultation toolkit section on Ourspace](#).

Explain what practical steps you are going to take to ensure that you identify and address privacy risks *

greatest risk is transmission of private data returned during the public consultation period which will be fully addressed by ensuring this dataflow is saved securely with limited access permissions to project staff and data processors only, and that selected data slices which do not include data containing private attributes are subsequently shared in our consultation responses. i.e. geospatial data is shared, names of respondents are not.

Who should be consulted, internally and externally? Do you need to seek the views of members of the public? *

We will need to seek views of the general public, residents, communities, businesses and other organisations such as town and parish councils and project partners.

How will you carry out the consultation? *

(You should link this to the relevant stages of your project management process)

We will undertake an initial Issues and Options consultation which will make use of the existing Planning Consultation Database, public press and local authority newsletters. We will publish a webmap which will contain webforms and open a consultation inbox with access limited to WCC project officers to collate, download and save responses to sharepoint.

5 Risk register

At this stage you should identify the possible privacy risks together with their likelihood, severity and overall level, and for high risks the measures taken to reduce the risk.

Add any risk to the relevant sections below.

Fair and Lawful Processing

Data must be processed lawfully, fairly and in a transparent manner.

Please also consider

- Have you identified at least one lawful basis for the personal data processed as part of the project?
- Does at least one Controller involved have a lawful power to act?
- Do you need to create or amend a privacy notice?
- How is your processing going to be transparent?

Risk that processing is not transparent, and individuals are unaware that data is being collected or why it is processed

No Risk

Risk that information is being processed unlawfully

No Risk

Specific, explicit and legitimate purposes

The purpose for which you process personal data must be specified, explicit and legitimate. Personal data collected must not be processed in a manner that is incompatible with the purpose for which it was originally collected.

Please also consider

- Does your project plan cover all of the purposes for processing personal data? If not your plan needs amending accordingly.
- Are all elements of the processing compatible with the original reason and justification for the processing?
- What are these specific, explicit and legitimate purposes?

Risk of 'mission creep' and information is used for different, or incompatible purposes to that identified when originally collected

Unmitigated Risk
Likelihood - Unlikely

Severity - Some Impact

Score - Low

Mitigation/Solution

consultees will be informed at outset of the nature of data being collected, how it will be stored and used and for how long it will be kept - expectation management will ensure 'mission creep' risk is minimised.

Mitigated Risk

No Risk

Result

Eliminated

Adequate, relevant and not excessive

Personal data processed must be adequate, relevant and not excessive in relation to the purpose for which it is processed.

Please also consider

- Is the quality of the information adequate for the purposes it is used?
- If not, how is this to be addressed?
- Are measures in place to ensure that data is limited to that which is needed to fulfill the aim of the processing?
- Which personal data elements do not need to be included without compromising the needs of the project?

Risk of loss of control over the use of personal data

Unmitigated Risk

Likelihood - Unlikely

Severity - Minimal Impact

Score - Low

Mitigation/Solution

data processors kept to absolute minimum and only data with user permissions granted will be shared

Mitigated Risk

No Risk

Result

Eliminated

Risk that inadequate data quality means the information is not fit for the identified purpose(s) potentially leading to inaccurate decision making

Unmitigated Risk

Likelihood - Reasonably Unlikely

Severity - Minimal Impact

Score - Low

Mitigation/Solution

webforms will be designed to ensure tailored and specific questions ensure detail of information collected is fit for purpose

Mitigated Risk

Likelihood - Unlikely

Severity - Minimal Impact

Score - Low

Result

Accepted

Risk that any new surveillance methods may be an unjustified intrusion on individuals' privacy

No Risk

Accurate and timely

Personal data processed must be accurate and, where necessary, kept up to date, and every reasonable step must be taken to ensure that personal data that is inaccurate is erased or rectified without delay.

Please also consider

- If you are procuring new software does it allow you to amend data when necessary?
- How are you ensuring that personal data obtained from individuals or other organisations is accurate?
- Do you have processes in place to keep data up to date?
- If any data sets are to be merged, what checks are carried out to ensure that the right data records are matched/merged together?

Any data matching or linking, including whole data sets may link wrong records together

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Unmitigated Risk

Likelihood - Unlikely
 Severity - Minimal Impact
 Score - Low

Mitigation/Solution

data owners and processors will be informed of risk of data transmission and modification errors. outputs will be peer reviewed within the organisation and datasets deleted on project completion

Mitigated Risk

No Risk

Result

Eliminated

Storage limitation

Personal data must be kept for no longer than is necessary for the purpose for which it is processed. Appropriate time limits must be established for the periodic review of the need for the continued storage of personal data.

Please also consider

- What are the risks associated with how long data is retained and how they might be mitigated?
- Has a review, retention and disposal (RRD) policy been established?
- How does the software enable you to easily act on retention criteria – does it enable bulk review/destruction; set review periods; extract for long-term preservation/retention of the corporate memory?

Risk information is retained for the wrong length of time (both too long and too short)**Unmitigated Risk**

Likelihood - Reasonably Unlikely
 Severity - Minimal Impact
 Score - Low

Mitigation/Solution

Sharepoint files have a retention policy setting and will be deleted on completion of the LNRS project

Mitigated Risk

No Risk

Result

Eliminated

Risk information is not securely destroyed when its retention period has been reached

No Risk

Security

Personal data must be processed in a manner that ensures appropriate security of the personal data, using appropriate technical or organisational measures (and, in this principle, “appropriate security” includes protection against unauthorised or unlawful processing and against accidental loss, destruction or damage).

Please also consider

- What technical and organisational measures are in place to ensure that the data is protected to an adequate level?
- What training on data protection and/or information sharing has been undertaken by relevant staff?
- What access controls are in place to enforce the ‘need to know’ principle?
- What assurance frameworks are utilised to assess adequacy of security measures in place e.g. NHS DSPT; Cyber Essentials Plus; PSN Certification?

Risk of loss of confidentiality**Unmitigated Risk**

Likelihood - Unlikely
 Severity - Minimal Impact
 Score - Low

Mitigation/Solution

appropriate security processes are inbuilt in sharepoint and geospatial data storage platforms. all WCC project staff will have completed GDPR elearning training

Mitigated Risk

No Risk

Result

Eliminated

Risk of inadequate security controls in place to protect and secure personal data, including inappropriate access**Unmitigated Risk**

Likelihood - Unlikely

Severity - Minimal Impact

Score - Low

Mitigation/Solution

data storage and processing controls applied - personal data collected is minimized to only that essential for project scope. only staff with set access permissions will be able to access and manipulate personal data in the sharepoint site. only data which respondents have given permission to share will be shared with data processors.

Mitigated Risk

No Risk

Result

Eliminated

Risk that workers processing the data are not aware of their data responsibilities**Unmitigated Risk**

Likelihood - Unlikely

Severity - Minimal Impact

Score - Low

Mitigation/Solution

all WCC staff involved will have undertaken GDPR elearning

Mitigated Risk

No Risk

Result

Eliminated

Risk that information is distributed using inappropriate methods**Unmitigated Risk**

Likelihood - Unlikely

Severity - Minimal Impact

Score - Low

Mitigation/Solution

data storage and processing will only be possible using project software or locked documents preventing unauthorized or unintended distribution

Mitigated Risk

Likelihood - Unlikely

Severity - Minimal Impact

Score - Low

Result

Accepted

Risk of re-identification of pseudonymized or anonymised data (e.g. collecting matching and linking identifiers and information may result in information that is no longer safely anonymised)

No Risk

Risk that information is transferred to a 'third country' without adequate safeguards

No Risk

Financial and reputational**Risk of identity theft or fraud**

No Risk

Risk of financial loss for individuals or other third parties

No Risk

Risk of financial loss for the Council (including ICO fines)

No Risk

Risk of reputational damage to the Council, partners, and processors

Unmitigated Risk

Likelihood - Unlikely

Severity - Some Impact

Score - Low

Mitigation/Solution

no personal data is required to be articulated either in the consultation or consultation response documents and therefore the key reputational damage risk relates to unintended or accidental document or data distribution, for which mitigation has previously been detailed.

Mitigated Risk

No Risk

Result

Eliminated

Health, safety and wellbeing

Risk of physical harm to individuals

No Risk

Risk of physical harm to staff and workers

No Risk

Risk of discrimination

No Risk

Risk of other significant economic or social disadvantage

No Risk

Individuals Rights

Data protection legislation gives data subjects' various rights (listed below). Limiting or restricting any of these rights is likely to be a significant impact so the justification for any restriction, as well as mitigations, must be fully outlined.

Inability to meet individuals' right to be informed

No Risk

Inability to meet individuals' right of access

No Risk

Inability to meet individuals' right to rectify inaccurate data

No Risk

Inability to meet individuals' right to erase data

Unmitigated Risk

Likelihood - Unlikely

Severity - Minimal Impact

Score - Low

Mitigation/Solution

the consultation will include specific text confirming that respondents may contact the dataowner (WCC) to modify or delete their submissions at any point in time during LNRS preparation

Mitigated Risk

No Risk

Result

Eliminated

Inability to meet individuals' right to restrict processing

No Risk

Inability to meet individuals' right to data portability

No Risk

Inability to meet individuals' right to object

No Risk

Inability to meet individuals' rights relating to automated decision making and profiling**Unmitigated Risk**

Likelihood - Unlikely

Severity - Minimal Impact

Score - Low

Mitigation/Solution

land-use decision making is a principle component of LNRS preparation and individuals will be consulted on the criteria used to core land-use proposals.

Mitigated Risk

No Risk

Result

Eliminated

Additional project specific risks

No additional risks recorded

6 Declaration

I confirm to the best of my knowledge that the information I have provided is true, complete and accurate *

Selected

I confirm that I will make sure that data protection has been and continues to be considered throughout the project life cycle and should circumstances change in the project to include any processing of personal data a further Data Protection Impact Assessment Screening will be carried out *

Selected

7 Application Details**Last Updated Date Time**

15/08/2023 16:44:11

Screening Submitted Date Time

14/08/2023 14:44:00

Last Reopened Date Time

No Date Recorded

Full Impact Submitted Date Time

15/08/2023 15:44:11

Approved/Rejected Date Time

18/08/2023 15:24:17

Current User Dashboard Request Status

Complete

8.0 People with access to the original screening

[Cody Levine \(CLevine@worcestershire.gov.uk\)](mailto:CLevine@worcestershire.gov.uk)

8.1 People with access to this data protection assessment

[Cody Levine \(CLevine@worcestershire.gov.uk\)](mailto:CLevine@worcestershire.gov.uk)

9 Direct Questions

No Questions Asked